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mr

October 30, 2015

LA Board of Ethics  
617 North Third Street  
LaSalle Building, Suite 10-36  
Baton Rouge, Louisiana 70802

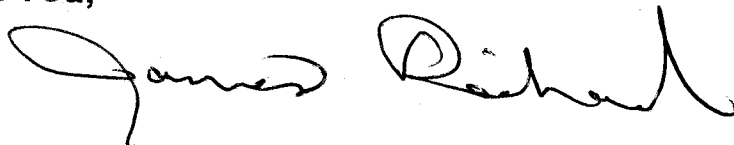
Attention:

I would like to request a waiver of the fine access against me James Richard, who was a candidate for City Marshall Ward 3 in Lake Charles, Louisiana in 2014.

The reason is that:

1. I did not spend or write a check the day of election in November, 2014. Please find enclosed that the cost incurred was reported on a previous report prior to election day.
2. I have enclosed those copies, in addition, I spoke by phone to your office concerning this matter. I realize that I filed a report after the 10 day election rule, but I did not pay or incur a new cost for that day. The invoces from the radio station clearly shows these were pre-set dates to run ads. For those reasons I am asking for a waiver of the fine. I appreciate any cooperation in this matter.

Thank You,



2015 NOV -9 AM 9:32

RECEIVED



STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**

P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
www.ethics.state.la.us

October 9, 2015

James Scott Richard  
P.O. Box 33  
Lake Charles, LA 70602

**CERTIFIED MAIL**

**NO. 70150640000317160230**

**RETURN RECEIPT REQUESTED**

RE: Late fee assessment for the November 4, 2014 Election  
EDE-P Campaign Finance Disclosure Report filed 25 days late

Dear James Scott Richard:

Enclosed is an assessment of a late fee pursuant to La R.S. 18:1505.4 and La. R.S. 18:1511.4.1. Please submit a check or money order in the amount of **\$600** payable to the Treasurer of the State of Louisiana to P. O. Box 4368, Baton Rouge, LA 70821. **Please note that late fees can only be paid by the candidate or by his campaign.**

**You have 20 days the receipt of this letter to dispute this assessment. You may elect to do either or both of the following:**

1. You may submit your request for a waiver to the attention of the Louisiana Board of Ethics.

Waivers are handled by the Ethics Board. The Board of Ethics may reduce, suspend, or decline to waive a late fee assessment based on the cause shown by the person, candidate, or political committee. If you submit a request for a waiver of the late fee to the Board of Ethics, your request should contain details as to why, in your opinion, you have good cause why your report was not timely filed. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your letter. The request should be submitted to the attention of the Board of Ethics at the following address: P. O. Box 4368, Baton Rouge, LA 70821 or fax at (225) 381-7271.

2. You may appeal **the assessment** directly to the Ethics Adjudicatory Board (EAB).

Appeals to the EAB are proceedings before a three judge panel. The determination is made regarding whether the person, candidate, or political committee was required to file the report and whether the report was filed late. **Mitigating factors are not considered during an EAB proceeding. The EAB does not have the authority to reduce, suspend, or waive a late fee assessment.** If you choose to appeal the assessment to the EAB, you should submit your request for an appeal to the attention of the Executive Secretary of the Louisiana Board of Ethics at P. O. Box 4368, Baton Rouge, LA 70821 or fax at (225)381-7271.

If you timely submit a waiver request or appeal, your name will not be posted on the website pending the result of your appeal. Furthermore, if you request an appearance, you will be notified of the place and time prior to the meeting.

If you do not **pay or dispute** the assessment of the late fee within 20 days from the receipt of this letter, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

***You should be aware that unpaid campaign finance fines, fees or penalties may have an adverse effect on your ability to run for public office in the future, as the Board of Ethics will object to your future qualifications for candidacy for public office pursuant to La. R.S. 18:491 and R.S. 18:492.***

Sincerely,

LOUISIANA BOARD OF ETHICS

Angela Newsom

**STATE OF LOUISIANA LOUISIANA BOARD OF ETHICS**  
acting in its capacity as the  
**SUPERVISORY COMMITTEE ON CAMPAIGN FINANCE DISCLOSURE**

**In Re:       James Scott Richard**  
              **November 4, 2014 Election**

**LATE FEE ASSESSMENT ORDER**

**WHEREAS**, James Scott Richard, in his capacity as a candidate for City Marshal / City Court, City of Lake Charles in the November 4, 2014 election was required to file campaign finance reports pursuant to La. R.S. 18:1484.

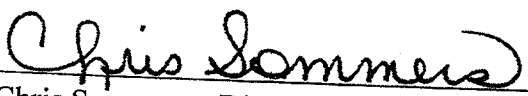
**WHEREAS**, La. R.S. 18:1505.1 provides that it is a violation of the Campaign Finance Disclosure Act to fail to timely file campaign finance reports.

**WHEREAS**, in accordance with La. R.S. 18:1532, James Scott Richard was required to file an Election Day Expenditures Report related to the primary election (EDE-P) by November 14, 2014. James Scott Richard filed the report on December 9, 2014 and was 25 days late.

**WHEREAS**, La. R.S. 18:1505.4A(2)(a)(ii) provides that an automatic late fee of \$60 per day (not to exceed \$2,000) be assessed against James Scott Richard for this late filing. However, pursuant to Rule 1205C of the Board (LAC 52:1205C), the \$1,500 late fee assessed for the EDE-P campaign finance disclosure report is reduced to \$600.

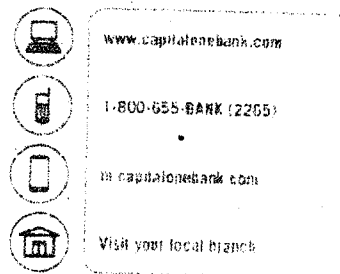
**ACCORDINGLY, IT IS ORDERED** that a late fee of \$600 is assessed against James Scott Richard for failure to timely file his campaign finance disclosure report.

**ORDER** signed on the 9th day of October 2015 at Baton Rouge, Louisiana.

  
Chris Sommers - Director, Campaign Finance

JAMES "JIMMY" RICHARD  
CAMPAIGN FUND  
PO BOX 33  
LAKE CHARLES LA 70602

H109  
\$9516



► New address? Please contact customer service to update.

► To redeem rewards, call 1-800-228-3001  
or visit www.capitalone.com/nohasslerewards

**ACCOUNT SUMMARY** FOR PERIOD OCTOBER 18, 2014 - NOVEMBER 19, 2014

**Rewards Checking 00005628619060**

Previous Balance 10/17/14	\$403.85	Number of Days in Cycle	33
1 Deposits/Credits	\$150.00	Minimum Balance This Cycle	\$308.85
1 Checks/Debits	\$245.00	Average Collected Balance	\$368.54
Service Charges	\$0.00		
Ending Balance 11/19/14	\$308.85		
<b>Rewards Summary</b>			
Previous Balance		2020	
Earned This Period		15	
Transferred In This Period		0	
Transferred Out This Period		0	
Redeemed This Period		0	
Adjustments This Period		0	
Ending Balance		2035	

**ACCOUNT DETAIL** FOR PERIOD OCTOBER 18, 2014 - NOVEMBER 19, 2014

**Rewards Checking 00005628619060**

Date	Amount	Resulting Balance	Transaction Type	Description	Debit Card No.
10/31	\$150.00	\$553.85	Deposit	Customer Deposit	
11/03	-\$245.00	\$308.85	Debit	Debit Card Purchase 103114 B&C BROADCASTING INC LAKE CHARLES LA	2164

Thank you for banking with us



KZWA-FM  
305 ENTERPRISE BOULEVARD  
LAKE CHARLES LA 70601  
337 491-9955

Date 11/30/2014  
Sales Person Darleen Wesley  
Terms None  
Balance Due 245.00

11/30/2014 9

COM/JAMES RICHARD  
PO BOX 33  
LAKE CHARLES LA 70602

*Paid*

Date	Description	Times	Total
11/01/2014	01:00 spot	10:53:15 11:53:15 12:53:15 13:37:30	80.00
11/02/2014	01:00 spot	08:52:15 09:37:10 10:36:30	45.00
11/03/2014	01:00 spot	08:51:15 17:50:45	40.00
11/04/2014	01:00 spot	08:51:45 09:50:15 10:50:15 11:50:15	80.00
Sub Total			245.00
Balance Due			245.00

# CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

## OFFICE USE ONLY

Report Number: 44633

Date Filed: 11/13/2014

Report Includes Schedules:

Schedule A-1

Schedule E-1

**1. Qualifying Name and Address of Candidate**

JAMES SCOTT (JIMMY) RICHARD  
7114 Corbing Rd.  
Lake Charles, LA 70607

**2. Office Sought (Include title of office as well)**

marshal  
Calcasieu/Lakecharles

**3. Date of Primary** 11/4/2014

This report covers from 10/28/2014 through 11/16/2014

**4. Type of Report:**

<input type="checkbox"/> 180th day prior to primary	<input type="checkbox"/> 40th day after general
<input type="checkbox"/> 90th day prior to primary	<input type="checkbox"/> Annual (future election)
<input type="checkbox"/> 30th day prior to primary	<input type="checkbox"/> Supplemental (past election)
<input type="checkbox"/> 10th day prior to primary	<input type="checkbox"/> Amendment to prior report
<input checked="" type="checkbox"/> 10th day prior to general	

**5. FINAL REPORT if:**

☐ Withdrawn ☐ Filed after the election AND all loans and debts paid  
☐ Unopposed

**6. Name and Address of Financial Institution**  
(You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all

CAPITAL ONE BANK  
1920 highway 14  
lake charles, LA 70601

**7. Full Name and Address of Treasurer**

**9. Name of Person Preparing Report** JAMES SCOTT (JIMMY) RICHARD

Daytime Telephone 337-496-5897

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure

This 13th day of November, 2014

James Scott (Jimmy) Richard

Signature of Candidate/Chairperson

(To be signed by Chairperson *only* if report by principal campaign committee)

337-496-5897

Daytime Telephone

Signature of Treasurer

Daytime Telephone

**8. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY**  
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

On attached sheet

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LOUISIANA CAMPAIGN FINANCE RECEIVED

## SUMMARY PAGE

RECEIPTS	This Period
1. Contributions (Schedule A-1)	\$ 150.00
2. In-kind Contributions (Schedule A-2)	\$ 0.00
3. Campaign paraphernalia sales of \$25 or less	\$ 0.00
4. <b>TOTAL CONTRIBUTIONS</b> (Lines 1 + 2 + 3)	\$ 150.00
5. Other Receipts (Schedule A-3)	\$ 0.00
6. Loans Received (Schedule B)	\$ 0.00
7. Loan Repayments Received (Schedule D)	\$ 0.00
8. <b>TOTAL RECEIPTS</b> (Lines 4 + 5 + 6 + 7)	\$ 150.00

DISBURSEMENTS	This Period
9. Expenditures (Schedule E-1)	\$ 245.00
10. Other Disbursements (Schedule E-2)	\$ 0.00
11. Loan Repayments Made (Schedule B)	\$ 0.00
12. Funds Loaned (Schedule D)	\$ 0.00
13. <b>TOTAL DISBURSEMENTS</b> (Lines 9 + 10 + 11 + 12)	\$ 245.00

FINANCIAL SUMMARY	Amount
14. Funds on hand at beginning of reporting period <small>(Must equal funds on hand at close from last report or -0- if first report for this election)</small>	\$ 380.70
15. <i>Plus</i> total receipts this period <small>(Line 8 above)</small>	\$ 150.00
16. <i>Less</i> total disbursements this period <small>(Line 13 above)</small>	\$ 245.00
17. <i>Less</i> in-kind contributions <small>(Line 2 above)</small>	\$ 0.00
18. Funds on hand at close of reporting period	\$ 285.70

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## SCHEDULE E-1: EXPENDITURES

Use this schedule to report information on all campaign expenditures for this reporting period. An "expenditure" is any payment made for the purpose of supporting your election to public office and includes monies spent for the campaign's general operating expenses. Any payments made that are not "expenditures" should be reported on SCHEDULE E-2: OTHER DISBURSEMENTS. Totals and subtotals at bottom of page are *optional*. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.

1. Name and Address of Recipient	2. Expenditures this Reporting Period		
	a. Date(s)	b. Purpose(s)	c. Amount(s)
KZWA VIBE 104.9 305 Enterprise Blvd Lake Charles, LA 70601	10/31/2014	Radion ads	\$ 245.00
3. SUBTOTAL (optional)			\$245.00
4. TOTAL (optional - complete only on last page of this schedule)			\$ 245.00

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## SCHEDULE A-1: CONTRIBUTIONS (Other than In-Kind Contributions)

The following information must be provided for all contributors to your campaign during this reporting period, except for in-kind contributions. Information on in-kind contributions is reported on SCHEDULE A-2: IN-KIND CONTRIBUTIONS. In Column 1, check if the contributor is a political committee or a party committee. Any personal funds a candidate contributes to his campaign must be reported on this schedule. Personal funds a candidate *loans* to his campaign should be reported on Schedule B. For anonymous contributions, see SCHEDULE F. Totals and subtotals are *optional*. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.

1. Name and Address of Contributor	2. Contributions this Reporting Period		3. Total this Election
	a. Date(s)	b. Amount(s)	
A K LEE 4022 Paul White Rd Lake Charles, LA 70611  POLITICAL COMMITTEE? <input type="checkbox"/> PARTY COMMITTEE? <input type="checkbox"/>	10/31/2014	\$150.00	\$150.00
4. SUBTOTAL (this page)		\$150.00	N/A
5. TOTAL (complete only on last page of this schedule)		\$ 150.00	N/A
6. CONTRIBUTIONS FROM POLITICAL COMMITTEES:			
SUBTOTAL (this page)		\$0.00	TOTAL (complete only on last page of this schedule) \$ 0.00

## SUMMARY PAGE (continued)

INVESTMENTS	Amount
19. Of funds on hand at beginning of reporting period (Line 14, above), amount held in investments (i.e., savings accounts, CD's, money market funds, etc.)	\$ 0.00
20. Of funds on hand at close of reporting period (Line 18, above), amount held in investments	\$ 0.00

FINANCIAL SUMMARY	Amount
21. Candidate's personal funds (Use of personal funds as either a contribution or loan to the campaign should be reported on Schedules A-1 or B.)	\$ 0.00
22. Contributions received from political committees (From Schedules A-1 and A-2)	\$ 0.00
23. All proceeds from the sale of tickets to fundraising events (Receipts from the sale of tickets are contributions and must also be reported on Schedule A-1.)	\$ 0.00
24. Proceeds from the sale of campaign paraphernalia (Receipts from the sale of campaign paraphernalia are contributions and must also be reported on Schedule A-1 or Line 3, above.)	\$ 0.00
25. Expenditures from petty cash fund (Must also be reported on Schedule E-1.)	\$ 0.00

### NOTICE

The personal use of campaign funds is prohibited.\* The use of campaign funds must be related to a political campaign or the holding of a public office or party position. However, campaign funds may be used to reimburse a candidate for expenses related to his campaign or office, to pay taxes on the interest earned on campaign funds or to replace articles lost, stolen, or damaged in connection with a campaign.

Excess campaign funds may be returned to contributors on a pro rata basis, given as a charitable contribution as provided in 26 USC 170(c), given to a charitable organization as defined in 26 USC 501(c)(3), expended in support of or opposition to a proposition, political party, or candidacy of any person, or maintained in a segregated fund for use in future political campaigns or activity related to preparing for future candidacy to elective office.

\*The prohibition on the personal use of campaign funds does not apply to campaign funds received prior to July 15.

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**FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY**

Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary)

**Name and Address of Committee's Chairman**